SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

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tion 16. Form 4 or Form 5	
gations may continue. See	
ruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

			of Section So(n) of the investment Company Act of 1940					
1. Name and Addre	ss of Reporting Per	son*	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Henderson Molly			UroGen Pharma Ltd. [URGN]	Director 10% Owner				
,				X Officer (give title Other (specify				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	below) below)				
			01/31/2022	Chief Financial Officer				
400 ALEXANL	DER PARK DRIV	/E						
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)				
PRINCETON	NJ	08540		X Form filed by One Reporting Person				
ļ ,				Form filed by More than One Reporting				
(City)	(State)	(Zip)		Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ecution Date, Transaction any Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Ordinary Shares	01/31/2022		М		666	A	(1)	5,579	D	
Ordinary Shares	01/31/2022		S		331 ⁽²⁾	D	\$7.58	5,248	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 7. Title and Amount of Securities 8. Price of Derivative 1. Title of 2 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 9. Number of 10. 11. Nature Conversion Date (Month/Day/Year) Execution Date, Transaction Expiration Date (Month/Day/Year) Ownership of Indirect Derivative derivative of Derivative if any (Month/Day/Year) Security (Instr. 5) Security (Instr. 3) or Exercise Code (Instr. Securities Form: Beneficial Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Price of Derivative Underlying Derivative Security (Instr. 3 and 4) 8) Beneficially Direct (D) Ownership Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security Reported Transaction(s) Transact (Instr. 4) Amount or Number Date Expiration of Code v (A) (D) Exercisable Title Shares Restricted Ordinary (1) (3) (3) 1,334 01/31/2022 Μ 666 \$0.00 1,334 D Stock Shares Units Restricted Ordinary (1) 01/31/2022 7,500 (4) (4) 7,500 D Stock A \$0.00 7,500 Shares Units Stock Option Ordinary \$7.72 01/31/2022 A 30,000 (5) 01/31/2032 30,000 \$0.00 30,000 D (right to Shares buy)

Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a contingent right to receive one ordinary share of the Issuer

2. Represents shares sold to satisfy withholding tax obligations upon the settlement of restricted stock units

3. The reporting person was granted RSUs on January 31, 2021 representing 2,000 ordinary shares. The RSUs will vest in three equal annual installments from January 31, 2022.

4. 1/3 of the shares underlying the restricted stock units will vest on each of January 31, 2023, January 31, 2024 and January 31, 2025.

5. 1/3 of the shares underlying the stock options will vest on each of January 31, 2023, January 31, 2024 and January 31, 2025.

Remarks:

/s/ Jason D. Smith,	<u>Attorney-in-</u> 02/01/2022
Fact	02/01/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.