SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	ment 1	3. Issuer Name and Ticker or Trading Symbol <u>UroGen Pharma Ltd.</u> [URGN]					
		(Check all applicable) X Director	10% Owne	er (Mor	nth/Day/Year)	Ĵ	
-		below)	below)	Appl	icable Line) Form filed b	y One Reporting Person y More than One	
—							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)					Nature of Indirect Beneficial Ownership str. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
Expiration Da	ate			4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
(1)	10/30/2027	Ordinary Shares	50,000	30.66	D		
(2)	06/04/2028	Ordinary Shares	10,000	59.23	D		
	Table II - Nor Central Control	Requiring Statement (Month/Day/Year) 01/01/2019 Table I - Non-Derivative (e.g., puts, calls, warrant (Month/Day/Year) 2. Date Exercisable and Expiration Date (Month/Day/Year) Date Exercisable Expiration Date (Month/Day/Year) (1) 10/30/2027	Requiring Statement (Month/Day/Year) 01/01/2019 UroGen Pharma Ltd. [4. Relationship of Reporting Pers (Check all applicable) X Director Officer (give title below) Table I - Non-Derivative Securities Beneficial geneficially Owned (Instr. 4) Z. Amount of Securities Beneficially Owned (Instr. 4) 2. Date Exercisable and Expiration Date (Month/Day/Year) 3. Title and Amount of Securities Underlying Derivative Securities Convertible Date Exercisable 2. Date Exercisable and Expiration Date (Month/Day/Year) 3. Title and Amount of Securities Securities Convertible 01 10/30/2027 Ordinary Shares	Requiring Statement (Month/Day/Year) 01/01/2019 UroGen Pharma Ltd. [URGN] 4. Relationship of Reporting Person(s) to Issue (Check all applicable) X Director 01/01/2019 4. Relationship of Reporting Person(s) to Issue (Check all applicable) X Director 0fficer (give title below) Other (spe below) Table I - Non-Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities (month/Day/Year) 3. Ownersh Form: Direct (Instr. 4) 2. Date Exercisable and Expiration Date (Month/Day/Year) 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Date Exercisable Expiration Date 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) (1) 10/30/2027 Ordinary Shares 50,000	Requiring Statement (Month/Day/Year) 01/01/2019 UroGen Pharma Ltd. [URGN] 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) 5. If (Month/Day/Year) 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) 5. If (Month/Day/Year) 5. If (Month/Day/Year) 0% Owner 6. In Appl 0% Owner 0 fileer (give title below) 0% Owner 0 fileer (give title below) 0% Owner 5. If (Month/Day/Year) 2. Amount of Securities Beneficially Owned (Instr. 4) 3. Ownership Form: Direct (D) or Indirect (D) or Indire	Requiring Statement (Month/Day/Year) UroGen Pharma Ltd. [URGN] 01/01/2019 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) 5. If Amendment, Dr. (Month/Day/Year) X Director 10% Owner Officer (give title below) Other (specify below) 6. Individual or Joint Applicable Line) X Form filed b Form filed b Reporting P Table I - Non-Derivative Securities Beneficially Owned (Instr. 4) 3. Ownership orni Direct (0) (Instr. 5) 4. Nature of Indirect (Instr. 5) Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities Price of Date Expiration Date (Month/Day/Year) 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) 4. Conversion Or Exercise Price of Derivative Security (Instr. 5) 5. Ownership Form: Direct (0) or Indirect (0) (Instr. 5) 2. Date Exercisable and Expiration Date (Month/Day/Year) 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) 4. Conversion Or Exercise Price of Derivative Security (Instr. 5) 5. Ownership Form: Direct (D) or Indirect (0) (Instr. 5) 10/30/2027 Ordinary Shares 50,000 30.66 D	

Explanation of Responses:

1. 8.33% of the shares underlying the stock option will vest in equal quarterly installments commencing 3 months after October 30, 2017.

2. 25% of the shares underlying the stock option vested on each of September 4, 2018 and December 4, 2018 and the remaining shares will in two equal installments on March 4, 2019 and June 4, 2019. **Remarks:**

Exhibit List - Exhibit 24 - Power of Attorney

/s/ Peter Pfreundschuh, Attorney-in-Fact

01/10/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 24

POWER OF ATTORNEY

(For Executing Form ID and Forms 3, 4 and 5)

Know all by these presents, that the undersigned hereby constitutes and appoints each of Charles Bair, Daniel Goldberg, Michael Schaeppi and Jason Minio of Cooley LLP, and Peter Pfreundschuh of UroGen Pharma Ltd. (the "Company"), signing individually, the undersigned's true and lawful attorneys-in fact and agents to:

(1) Prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the Securities and Exchange Commission (the "SEC") Form ID and Forms 3, 4 and 5 (including amendments thereto and joint filing agreements in connection therewith) in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and the rules thereunder in the undersigned's capacity as an officer, director or beneficial owner of more than 10% of a registered class of securities of the Company;

(2) Do and perform any and all acts for and on behalf of the undersigned that may be necessary or desirable to prepare and execute any such Form ID and Forms 3, 4 or 5 (including amendments thereto and joint filing agreements in connection therewith) and file such forms with the SEC and any stock exchange, self-regulatory association or any similar authority; and

(3) Take any other action of any type whatsoever in connection with the foregoing that, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required of the undersigned, it being understood that the documents executed by the attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as the attorney-in-fact may approve in the attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney in fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney in fact, or such attorney in fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, and their substitutes, in serving in such capacity at the request of the undersigned, are not assuming (nor is the Company assuming) any of the undersigned's responsibilities to comply with Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the earliest to occur of (a) the undersigned is no longer required to file Form ID or Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, (b) revocation by the undersigned in a signed writing delivered to the Company and the foregoing attorneys-in fact or (c) as to any attorney-in-fact individually, until such attorney-in-fact is no longer employed by the Company or Cooley LLP, as applicable.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of the date written below.

Date: January 4, 2019

By: /s/ Cynthia Butitta Name: Cynthia Butitta